

Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.
12225.0035.NPUS00Serial No.
09/966,006Applicant
Lineman et al.Filing Date:
September 28, 2001Group:
2131U.S. Patent Documents
See Page N/AForeign Patent Documents
See Page N/AOther Art
See Page 1

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|-------------|-----------|--|
| lu | C1 | Wood, Charles Cresson, <u>Information Security Policies Made Easy</u> , 8 th edition. PentaSafe Security Technologies Inc., May 2001. |
| lu | C2 | Brochure for e-Minder by CONQWEST, 1-page, undated. |
| lu | C3 | "e-Minder - E-Policy Management Solution," 14-pages, dated February 7, 2002, found at http://www.conqwest.com/eminder.htm . |
| lu | C4 | "Enterprise Application Integration: Key Component in Enterprise Management Market," Press Release by Intellitactics Inc., date February 7, 2002, found at http://www.itactics.com/html/news007.html |
| lu | C5 | Brochure for LivingPolicy by Intellitactics Inc., 2-pages, undated. |
| lu | C6 | "LivingPolicy," 12-pages, date February 7, 2002, found at http://www.itactics.com/html/lpm.html |
| lu | C7 | Brochure for Network Security Manager by Intellitactics, 4-pages, undated. |
| lu | C8 | "Network Security Manager," 7-pages, date February 7, 2002, found at http://www.itactics.com/html/nsm.html |
| lu | C9 | Pink, David (1999, February 10). Safeguarding Corporate Secrets. <u>The Record</u> , C1. |
| lu | C10 | Romberg, Denise (1999, March 12). Tying security tools together. <u>Computing Canada</u> , 23-24. |
| lu | C11 | Neshevich, Carol (1999, March 26). Intellitactics tackles security. <u>Network World Canada</u> , 9, 12-13. |

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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